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The measurement of Direct CP Violation with the experiment CERN/NA48

Sandro Palestini CERN, Geneve

The NA48 collaboration: Cagliari, Cambridge, CERN, Dubna, Edinburgh, Ferrara, Firenze, Mainz, Orsay, Perugia, Pisa, Saclay, Siegen, Torino, Vienna, Warsaw

Outline

- Direct CP violation in K⁰ system and ϵ' / ϵ .
- How to measure ϵ' / ϵ ? NA48 method and setup
- Data analysis:
 - analysis overview
 - highlights of some key features
- Result (May 2001)
- New result from KTeV (June 2001)

CP Violation in $K^{\circ} \rightarrow \pi\pi$

CP Violation in the neutral kaon system is dominated by states mixing . Mass eigenstates (K_S and K_L) are not pure CP eigenstates (K₁ and K₂): K_S = K₁ + ε K₂ (K₁: CP= +1, $\rightarrow \pi\pi$ dominantly) K_L = K₂ + ε K₁ (K₂: CP= -1, $\rightarrow \pi\pi\pi, \pi\ell\nu$...) Indirect CP Violation, or $|\varepsilon| = (2.28 \pm 0.02) 10^{-3}$, is the main cause of K_L $\rightarrow \pi\pi$ decays

Is there also a component of Direct CP Violation in the decay process itself? That is, are there decays: $K_2 \rightarrow \pi\pi$? This would imply: $|A(K^0 \rightarrow \pi\pi)|^2 \neq |A(\overline{K}^0 \rightarrow \pi\pi)|^2$ This requires the combination of two amplitudes, with different phases in the weak couplings, and different final state phases due to strong interaction between the decay products. In the decay probability, the interference term would generate Direct CP Violation (because the weak phases change sign between CP conjugate states)

Direct CP Violation

 $\pi\pi$ from K⁰ can have two Isospin (I = 0 or 2) amplitudes: A₀, A₂ \Rightarrow Direct CP Violation possible, in principle, in $K^0 \rightarrow \pi\pi$ \Rightarrow Since $\pi^0\pi^0$ and $\pi^+\pi^-$ select different I amplitudes, we identify DCP violation comparing the decay modes: $A(K_1 \rightarrow \pi^+ \pi^-) / A(K_S \rightarrow \pi^+ \pi^-) = \eta_{+-} = \epsilon + \epsilon'$ $A(K_1 \rightarrow \pi^0 \pi^0) / A(K_5 \rightarrow \pi^0 \pi^0) = \eta_{00} = \epsilon - 2\epsilon'$ (the numerical factors +1 and -2 come from Clebsch-Gordan coefficients between $\pi\pi$ and Isospin eigenstates) (Instead indirect CP violation – ε – does not distinguish the two final states, because it occurs equally in K_{L} and K_{S} via the amplitude $K_1 \rightarrow \pi \pi$)

ε': direct CP violation parameter, could be written as: ε' = i e $i(\delta_2 - \delta_0)$ (ReA₂/ReA₀) (ImA₂/ReA₂-ImA₀/ReA₀)/ $\sqrt{2}$ (it vanishes if A₂ is zero or if it has the same phase of A₀)

Measured quantity

Experimental observable :

$$\begin{array}{c} \Gamma(\mathsf{K}_{\mathsf{L}} \to \pi^{0}\pi^{0}) \ \Gamma(\mathsf{K}_{\mathsf{S}} \to \pi^{*}\pi^{-}) \\ \mathsf{R} = & - \\ \Gamma(\mathsf{K}_{\mathsf{S}} \to \pi^{0}\pi^{0}) \ \Gamma(\mathsf{K}_{\mathsf{L}} \to \pi^{*}\pi^{-}) \end{array} = 1 - 6 \ \mathsf{Re}(\varepsilon'/\varepsilon)$$

This is to first order in $|\varepsilon'/\varepsilon|$, which is a correct approximation, since $|A_2| \ll |A_0|$, in agreement with the $\Delta I=1/2$ rule of weak decays

Standard Model predictions



Typical theoretical predictions : $\varepsilon' / \varepsilon \approx \text{few } 10^{-4} \text{ to} \approx 2. \ 10^{-3}$

Improvements from forthcoming lattice QCD computations (?)

Current experimental situation of $\varepsilon' / \varepsilon$

Previous generation experiments (results in early 90's):

- NA31 (CERN) (23.0 ± 6.5) × 10⁻⁴
- E731 (Fermilab) (7.4 ± 5.9) × 10⁻⁴

 $(\epsilon' / \epsilon) \neq 0$? Not clear \Rightarrow New generation of experiments

First published results two years ago :

- KTEV (Fermilab) (28.0 ± 4.1) × 10⁻⁴ (part of 96-97 data)
- NA48 (CERN) (18.5 ± 7.3) × 10⁻⁴ (97 data)

Preliminary NA48 result on 98 data last year :

 $(14.0 \pm 4.3) \times 10^{-4}$ (combined with 97 data)

 \Rightarrow Direct CP violation seems established

with world average (19.2± 2.5) × 10⁻⁴ but $\chi^2/ndf = 10.4/3$

Need final results from NA48 and KTEV to clarify the situation.

NA48 method and setup



Need > 3. 10⁶ $K_{L} \rightarrow \pi^{0}\pi^{0}$ for stat. error on R < 0.1% and look for cancellation of systematic effects related to differences in acceptance, efficiency, backgrounds: (lifetimes are very different, KL decays are *rare* and are affected by background)

$c\tau_{\rm S}$ = 2.67 cm	$K_{S} \rightarrow \pi^{+}\pi^{-}$: 69%	$K_L \rightarrow \pi^+\pi^-$: (0.2%
$C\tau_{L} = 15.5 m$	$K_{S} \rightarrow \pi^{0}\pi^{0}$: 31%	$K_L \rightarrow \pi^0 \pi^0$: (0.1%

NA48 method and setup

Strategy to minimize systematic effects:

- the 4 modes are collected concurrently
 cancellation of fluxes, dead times, inefficiencies, accidental rates
- use same decay regions for all modes, apply lifetime weighting to equalize distribution of $K_{\rm S}$ and $K_{\rm L}$ decay positions
- \Rightarrow cancellation of detector acceptance effects

• use quasi-homogeneous liquid Krypton calorimeter to detect $\pi^0\pi^0$ and magnetic spectrometer for $\pi^+\pi^ \Rightarrow$ optimize resolution, uniformity, linearity and stability

NA48 simultaneous and collinear K_L and K_S beams



The Tagger



- Proton rate ≈ 30MHz → split the intensity between foils, readout by Flash ADC 8 bits at 960 MHz
- \Rightarrow time resolution : 140 ps

 \Rightarrow double pulse separation : 4 ns



The AKS counter



- Defines beginning of decay region for π⁺π⁻ and π⁰π⁰ K_S decays
- Plastic scintillation counters following a

- iridium crystal 3mm thick , (22 \pm 5) mm upstream of counter
- ⇒ 1.79 X₀ instead of 0.98 X₀ for amorphous iridium

NA48 detector



Magnetic spectrometer





• Space point resolution $\approx\!\!100~\mu\text{m}$;

 $\sigma(P)/P \cong 0.5 \% \oplus 0.009 P[GeV/c]\%$ ($\cong 1\%$ for 100 GeV/c track momentum)

LKr electromagnetic calorimeter

- Quasi-homogeneous detector
 - 10 m³ liquid krypton (120 K);
 - (X_0 = 4.7 cm,
 - $R_{M} = 6.1 \text{ cm}$)
- 13,212 cells
 - granularity $2 \times 2 \text{ cm}^2$
 - Depth 1.25 m (27 X₀)



LKr electromagnetic calorimeter

- Projective geometry pointing to decay region (~ 114 m upstream)
- Accordion geometry (\pm 48 mrad)
- Initial current read-out



LKr energy resolution



 Use large sample of K_L→ π e υ to study
 Lkr energy response.
 Compare p from spectrometer and E from calorimeter.

σ(E)/E ≅ 3.2 % / √E ⊕ 0.09 /E ⊕ 0.42%(E in GeV)
(better than 1% for 25 GeV photons)

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Trigger, reconstruction and analysis

Beware:

All the corrections and uncertainties are quoted as applied to R:

When referred to (ϵ' / ϵ) , they need to be multiplied by -1/6

Trigger

π⁺π⁻ trigger

- Level 1:
 - Hodoscope + total energy + hits in drift chambers
 - Output rate 100 kHz, dead time 0.5 %
 - Efficiency (99.535 \pm 0.011)% (evaluated from comparison of trigger components)
- Level 2:
 - Fast track reconstruction (100 μs) from processors farm
 - Cut on vertex position and invariant mass
 - Output rate 2kHz, dead time 1.1%
 - Efficiency (98.353 \pm 0.022)% (from Level 1 triggers)

$\pi^+\pi^-$ selection

 $K_{S} \rightarrow \pi^{+}\pi^{-}$: no background $K_{L} \rightarrow \pi^{+}\pi^{-}$: BR = 0.2% Backgrounds : Ke3(BR=39%), Kµ3 (BR=27%)

e and μ rejection

- E(LKr)/p < 0.8
- no hits in μ detector

Kinematical cuts

- $|\mathsf{M}_{\pi\pi} \mathsf{M}_{\mathsf{K}}| < 3 \cdot \sigma_{\mathsf{M}}, (\sigma_{\mathsf{M}} \approx 2.5 \text{ MeV})$
- $P_{\perp}'^2 < 200 \text{ (MeV/c)}^2$ transverse momentum of $\pi^+\pi^-$ to the line between target and Kaon projection to spectrometer
 - \approx 0 for two body decay,
- >0 for Ke3, Kµ3

• Center of gravity $R_{COG} \le 10$ cm Kaon impact point extrapolated to the calorimeter COMMON WITH $\pi^{o}\pi^{o}$



$\pi^+\pi^-$ mass resolution



Signal and background in M₊₋- P₁^{'2} plane



•Study background with inverted cuts,

•and fit it in K_L sample,

•together with signal shape from K_s sample

$\pi^+\pi^-$ background subtraction

In the signal region ($M_{\pi\pi}$ and $P_{\perp}'^2$ cuts), the background is due to Ke3, Kµ3 and a smaller fraction of collimator scattered Kaons (partially asymmetric in $\pi^+\pi^-$ and $\pi^0\pi^0$)

Background = (16.9 \pm 3.0) 10⁻⁴

(systematic error :

- •changes in control regions,
- •modeling of $P_{\perp}'^2$ shape)



Trigger

$\pi^0\pi^0$ trigger

- Based on LKr information summed into projections
- Cuts on total energy, decay vertex and number of photons
- Fully pipelined (3µs), no deadtime, 2kHz
- Efficiency (99.920±0.009) % (from auxiliary trigger)
- Negligible K_S to K_L(weighted) difference



Neutral reconstruction



D =
$$z_{LKr} - z_{decay}$$

= $1/M_K \sqrt{\sum_{ij} E_i E_j d_{ij}^2}$

The neutral reconstruction is based on

- showers energies and positions,
- the Z decay vertex follows assuming M_K as total invariant mass

$\pi^{0}\pi^{0}\pi^{0}$ background subtraction

 $K_{s} \rightarrow \pi^{0}\pi^{0}$: no background $K_{L} \rightarrow \pi^{0}\pi^{0}$: BR $\approx 0.09\%$ Background : $K_{L} \rightarrow 3 \pi^{0}$ (BR $\approx 21\%$)

TO REDUCE THE BACKGROUND:

 $\boldsymbol{\cdot}$ after assuming \boldsymbol{M}_{K} invariant mass for the 4 showers

$\boldsymbol{\cdot}$ at a corresponding decay vertex Z_{decay}

• the showers can be further paired, at the same Z_{decay} , reproducing twice the π^0 mass

 \Rightarrow study a χ^2 distribution (2 d.o.f., mass resolution \approx 0.9 MeV)



To reduce the background further:

veto events with additional in-time clusters

$\pi^{0}\pi^{0}\pi^{0}$ background subtraction

Estimate residual background under K_L signal using control region in χ^2 . ($3\pi^0$ background is \approx flat) $\pi^0\pi^0$ contribution in control region from resolution tails is derived from K_S events.

Background = $(5.9 \pm 2.0) 10^{-4}$

(systematic error : uncertainty in background extrapolation)

Additional $\pi^0\pi^0$ background due to collimator scattering: (9.6 ± 2.0) 10⁻⁴





Tagging coincidence



Tagging errors

Two possible kinds of mistake :

-K_s mistagged as K_L : probability α_{sL} [inefficiency in time measurement by tagger counter or main detector (=trigger hodoscope or calorimeter): α_{sL}^{+-} and $\alpha_{sL}^{\circ\circ}$]

-K_L mistagged as K_S: probability α_{LS} [accidental coincidence between K_L decay and a proton in the tagger (rate 30 MHz) - α_{LS}^{+-} and α_{LS}^{00} - approximately symmetric between $\pi^{+}\pi^{-}$ and $\pi^{0}\pi^{0}$ because of simultaneous data taking]

 $\alpha_{SL}{}^{\text{+-}}$ and $\alpha_{LS}{}^{\text{+-}}$ can be measured reconstructing the decay vertex with the tracking chambers

Tagging performance for $\pi^+\pi^-$ events



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Tagging errors

 The measurement of R is mostly affected by the asymmetries in tagging errors:

$$\Delta \alpha_{SL} = \alpha_{SL}^{\circ \circ} - \alpha_{SL}^{+-}$$
$$\Delta \alpha_{LS} = \alpha_{LS}^{\circ \circ} - \alpha_{LS}^{+-}$$

• Correction to R : $\Delta R \cong 2 \times \Delta \alpha_{LS} - 6 \times \Delta \alpha_{SL}$

Measuring $\Delta \alpha_{SL}$

- Compare the time provided by calorimeter and hodoscope in events where both are available:
 - 1. Dalitz decays of π^0
 - $2.\gamma$ conversions in vacuum window
 - Tails < 0.5×10-4

 \Rightarrow Therefore most of the tails in $\pi^{+}\pi^{-}$ tagging coincidence are due to the tagger

 \Rightarrow they are equal in $\pi^+\pi^$ and $\pi^0\pi^\circ$



 $\Rightarrow \Delta \alpha_{SL}$ = (0. ± 0.5) 10⁻⁴

Measuring $\Delta \alpha_{LS}$

 $\Box \alpha_{LS}$ comes from accidental coincidences

 $\Box \Rightarrow \text{measure } \Delta \alpha_{\text{LS}} \text{ using}$ coincidence rate in tagging windows offset from the event time ("sidebands")

This is done for events tagged as K_L (no proton in central window), and allows $\pi^+\pi^- / \pi^0\pi^0$ comparison



Summary on tagging

- Data corrected for tagging mistakes
- Error on **R** $\Leftrightarrow \pi^+\pi^- \pi^0\pi^0$ difference

 Δ (R) (in 10⁻⁴ units)

$$K_{S}$$
 tagging inefficiency

 $\alpha_{SL}^{+-}= 1.6 \times 10^{-4}$
 $\Delta \alpha_{SL} = (0. \pm 0.5) 10^{-4}$
 $0. \pm 3.$
 K_{L} accidental mistagging

 $\alpha_{LS}^{+-}= (10.649 \pm 0.008) \%$
 $\Delta \alpha_{LS} = (4.6 \pm 1.7) 10^{-4}$
 8.3 ± 3.4

 Total
 8.3 ± 4.5

Fiducial volume definition

The event samples are selected applying cuts on the reconstructed kaon energy and the decay vertex position:

 $70 \leq E_K \leq 170~GeV$,

 $0 < \tau < 3.5$ (proper decay time: $\tau = 1/c\tau_{KS} (z_{vertex} - z_0) M_K / E_K)$

The control of the boundaries of the fiducial volume is of major relevance, good control of: •vertex computation,

scale and linearity of the energy computation.

Energy and decay vertex computations

$\pi^+\pi^-$

- z_{vertex} from track segments upstream of magnet
- ⇒ Computation based on spectrometer geometry

Detector geometry

- Z positions known to \cong 1 mm
- Transverse size scale known

to:

- spectrometer \cong 100 $\mu\text{m/m}$
- LKr \cong 300 μ m/m (after cool down)

 $\pi^{0}\pi^{0}$ • D(LKr-vertex)=1/M_K $\sqrt{(\Sigma_{ij}E_{i}E_{j}d_{ij}^{2})}$ = (Energy scale) × (Transverse size scale) Energy scale •adjust energy scale to fit the known position of the AKS anticounter 1 cm of reconstruction error $\Rightarrow 1 \times 10^{-4}$ on energy scale

Reconstruction of AKS position



 $\pi^{+}\pi^{-}$: Check of geometry and reconstruction

$$\Rightarrow \Delta(z) = 2 \text{ cm}$$



 $\pi^{0}\pi^{0}$: Adjust energy scale to match nominal position (one factor, independent of energy) Stability with time better than $\pm 5 \times 10^{-4}$

Summary on Decay Region Definition

$\Delta(R)$ (in 1	D ⁻⁴ units)
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π ⁺ π ⁻ AKS position Non gaussian response Total	± 2.0 ± 2.0 ± 2.8
π ⁰ π ⁰	
Energy scale	± 2.0
Non linearities	± 3.8
Transverse size	± 2.5
Non uniformities	± 1.5
Non gaussian response	± 1.2
Others (energy sharing)	± 2.3
Total	± 5.8

Lifetime Weighting



Detector illumination



After weighting, the illuminations are equal for K_L and K_S (apart from limited effect in charged decays due to beam angles)

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Acceptance Correction

- Acceptance correction : +26.7 \times 10⁻⁴
- Uncertainties on R :
 - MC stat error : $\pm 4.1 \times 10^{-4}$
 - Systematic error :
 ± 4.0×10⁻⁴ due to:
 - beam positions and shapes: ± 3.3×10⁻⁴
 - Comparison of fast MC with GEANT based spectrometer simulation: ± 2.3×10⁻⁴



Accidental Activity

Event losses cancel accurately in R because of simultaneous data taking in four modes Residual effect: $\Delta R \approx \Delta (\pi^0 \pi^0 - \pi^+ \pi^-) \times \Delta (K_L - K_S)$

 $\Delta(\pi^0\pi^0 - \pi^+\pi^-)$ minimized by applying dead time conditions to all modes (accidental losses $\cong 1 - 2$ %, studied with random events overlaid with data and Monte Carlo)

 Δ (K_L-K_S) small because K_L and K_S events see the same accidental activity, within 1% (checked directly with data), and because lifetime weighting produces equal detector illumination for K_L and K_S events

Correction to R: $\Delta R = (0 \pm 4.4) \times 10^{-4}$

Summary of corrections and systematic errors

∆(R) (in 10 ⁻⁴ units)	
background	1.4 ± 4.1
tagging errors	8.3 ± 4.5
geometrical/energy scale, linearity	2.0 ± 6.4
trigger/AKS efficiency	-2.5 ± 5.2
acceptance correction	26.7 ± 6.2
accidental losses	± 4.4
Total	35.9 ± 12.6

Some uncertainties include a statistical component (trigger efficiency, tagging, acceptance ...), contributing about ± 8 to the total error above

Energy spectrum



Event statistics :

- $K_L \to \pi^0 \pi^0$: 3.29 ×10⁶
- $K_{S} \rightarrow \pi^{0} \pi^{0}$: 5.21 × 10⁶
- $K_L \rightarrow \pi^+ \pi^-$: 14.45 × 10⁶
- $K_{S} \rightarrow \pi^{+}\pi^{-}$: 22.22×10⁶

Data Analysis

- Measure R in Kaon energy bins (5 GeV wide) \Rightarrow insensitive to K_S-K_L difference in energy spectrum
- Apply lifetime weighting to K_L
- Record dead time conditions
 - 1.5% from $\pi^+\pi^-$ trigger

• 21.5% from drift chamber multiplicity limit and apply them offline to $\pi^{\circ}\pi^{\circ}$ too \Rightarrow Minimize effect of $K_{s}-K_{L}$ beam intensity difference

Result and systematic checks



Result

From (1-R)/6, we determine from 98 and 99 data: $\epsilon' / \epsilon = (15.1 \pm 2.7) 10^{-4}$ Combining with 97 result (18.5 ± 7.3) 10⁻⁴: $\epsilon' / \epsilon = (15.3 \pm 2.6) 10^{-4}$ Direct CPV is established at 5.9 σ , and, with some

algebra, we could say:

$$\frac{\Gamma(K^0 \to \pi^+ \pi^-) - \Gamma(\overline{K^0} \to \pi^+ \pi^-)}{\Gamma(K^0 \to \pi^+ \pi^-) + \Gamma(\overline{K^0} \to \pi^+ \pi^-)} = (5.0 \pm 0.9) \times 10^{-6}$$

New results from Fermilab

The KTeV collaboration has just presented new results:

1. Re-analysis of 96-97 partial sample, published in 1999, now with revised result

2. Result of the analysis of the remaining 1997 sample

KTeV technique



Decay identification by vertex $(\pi^{+}\pi^{-})$ and CoG in calorimeter $(\pi^{0}\pi^{0})$ Similar P but different Z spectra for L/S



KTeV new results

- 1. Revised result: $\varepsilon'/\varepsilon = (23.2 \pm 4.4) \times 10^{-4}$ it was: $(28.0 \pm 4.1) \times 10^{-4}$ (-1.7 due to *mistake;* remaining: *better corrections*)
- 2. New sample : $\epsilon'/\epsilon = (19.8 \pm 2.9) \times 10^{-4}$
- 3. KTeV new average: $\epsilon'/\epsilon = (20.7 \pm 2.8) \times 10^{-4}$, or namely: $(20.7 \pm 1.5_{(stat)} \pm 2.4_{(syst)} \pm 0.5_{(MC stat)}) \times 10^{-4}$

The main systematic errors include energy scale/linearity, neutral background, and acceptance. [The acceptance correction to R is about: (≈480±7)×10⁻⁴, vs. NA48's: (27±6) ×10⁻⁴]

Experimental results comparison



Total average : ϵ' / ϵ = (17.3 \pm 1.8) 10^-4 with χ^2/ndf = 5.7/3

Conclusions

- The average of the 4 last experiments (NA31, E731, KTeV and NA48) is: $\epsilon'/\epsilon = (17.3 \pm 1.8) \times 10^{-4}$ (weighted average, with χ^2 /ndf = 5.7/3)
 - •This is a very significant improvement in resolution and consistency of results over 2 and 8 years ago
- •Direct CP violation is established, and the experimental precision is challenging the computational accuracy of the Standard Model